FEDERAL ASSISTANCE

1. TYPE OF ACTION
   [ x ] APPLICATION
   [ ] REAPPLICATION
   [ ] PREAPPLICATION
   [ ] NOTIFICATION OF INTENT (OPT)
   [ ] REPORT OF FEDERAL ACTION

2. APPLICANTS
   a. Applicant Name: Santa Barbara City College
   b. Organization Unit: Santa Barbara City College
   c. Street/P.O. Box: 721 Cliff Drive
   d. City: Santa Barbara
   e. State: California
   f. County: Santa Barbara
   g. ZIP Code: 93109

3. STATE APPLICATION IDENTIFIER
   a. NUMBER: 19
   b. DATE: Year month day 1977 2 4
   c. NUMBER: 19

4. LEGAL APPLICANT/RECIPIENT
   a. Applicant Name: Mrs. Ruth O. Little, College Librarian
   b. Telephone No.: (805) 965-0581

7. TITLE AND DESCRIPTION OF APPLICANT'S PROJECT
   Application for Fiscal Year 1977 Federal grant funds to be used between October 1, 1977 and September 30, 1978 to purchase eligible library materials under Title II-A of the Higher Education Act of 1965, as amended.

10. AREA OF PROJECT IMPACT
    Santa Barbara Community College
    District
    9,500

13. PROPOSED FUNDING
    a. FEDERAL $50,000
    b. APPRAIANT 54,702.00
    c. STATE 0.00
    e. OTHER 59,702.00
    f. TOTAL 59,702.00

14. CONGRESSIONAL DISTRICTS OF:
    19th

18. EXISTING FEDERAL IDENTIFICATION NUMBER
    A

21. REMARKS ADDED
    Yes

23. CERTIFYING REPRESEITATIVE
    Glenn G. Gooder, Superintendent/President

24. AGENCY NAME
    U.S. Office of Education

26. ORGANIZATIONAL UNIT
    Office of Libraries and Learning Resources

27. ADMINISTRATIVE OFFICE
    American Office of Library Education & Postsecondary Resources Br.

28. ADDRESS
    400 Maryland Ave., SW., Washington, D.C. 20202 ATTENTION: 13.406

33. CONTACT FOR ADDITIONAL INFORMATION
    Frank A. Stevens
    (202) 245-9530

35. Year month day
    ENDING DATE 19

36. FEDERAL AGENCY A-95 ACTION
    a. In taking above action, any comments received from classroom teachers were considered. It agency reserves its right under provision of Part I, OMB Circular A-95, if has been or is being made.

37. REMARKS ADDED
    Standard Form 5246 PAGE 1 (10-75)

Prepared by GA, Federal Management Circular 4-7
PART II. MAINTENANCE OF EFFORT ANALYSIS

NOTE: Do not include Federal funds, or expenditures for materials used for sectarian instruction or religious worship or equivalent costs for donated services or library materials. Include as institutional expenditures all State, local, and gifts funds, including grants from private foundations.

1.0 In order to meet the maintenance of effort requirement, column (5), lines a and c must at least equal column (4), lines a and c. In certain instances, a waiver from this requirement may be granted. (See below)

FINANCIAL DATA WITH RESPECT TO INSTITUTIONAL FUNDS FOR TOTAL LIBRARY PURPOSES AND FOR LIBRARY MATERIALS

<table>
<thead>
<tr>
<th>TYPE OF EXPENDITURE</th>
<th>FISCAL YEAR 1975</th>
<th>FISCAL YEAR 1976</th>
<th>TWO YEAR AVERAGE</th>
<th>ESTIMATED EXPENDITURES FOR FISCAL YEAR 1977</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>(1)</td>
<td>(2)</td>
<td>(3)</td>
<td>(4)</td>
</tr>
<tr>
<td>a. For library materials only</td>
<td>48,654</td>
<td>53,595</td>
<td>51,124</td>
<td>54,000</td>
</tr>
<tr>
<td>b. For other library purposes (Excluding of library materials)</td>
<td>218,779</td>
<td>181,100</td>
<td>199,940</td>
<td>205,702</td>
</tr>
<tr>
<td>c. For total library purposes (Sum of lines a and b)</td>
<td>267,433</td>
<td>234,695</td>
<td>251,064</td>
<td>259,702</td>
</tr>
</tbody>
</table>

2.0 MAINTENANCE OF EFFORT WAIVER—(This block should be completed if in block 1.0 (5)a, or (5)c are below (4)a or (4)e respectively.) The applicant hereby certifies that the item or items checked below apply to the applicant and on the basis of these occurrences does hereby request a waiver of the Maintenance of Effort requirement.

- (a) A relatively new institution, after making unusually high expenditures for library materials and total library purposes in its initial five years of operation to establish a basic program of library service, lowers such expenditures to a level adequate to maintain normal standards of operation;
- (b) An older institution, after undergoing sudden substantial increases in enrollment and/or in program activity requiring unusually higher level of expenditures for library materials and total library purposes for temporary period of time, lowers such expenditures to a level adequate to maintain normal standards of operation;
- (c) An older institution, after making an unusually high-purpose or "one-time" expenditure for library materials and total library purposes to acquire a special collection, lowers such expenditures to a level adequate to maintain normal standards of operation;
- (d) An institution suffers theft, vandalism, fire, flood, earthquake or other catastrophic occurrence, the results of which preclude its ability to maintain a normal level of expenditures for library materials and total library purpose for a temporary period of time;
- (e) An institution, after suffering theft, vandalism, fire, flood, earthquake or other catastrophic occurrence, requiring unusually high expenditures for library materials and total library purpose for an indefinite period of time to reestablish its basic program of library service, and to return to prior standards, lowers such expenditures to a level adequate to maintain normal standards of operation;
- (f) Other evidence of special and unusual circumstances; describe in detail using attachment if necessary:

3.0 The applicant assures the Commissioner that the Federal grant will be used between Oct. 1, 1976 and Sept. 30, 1977 to purchase eligible library materials for the purposes for which such grant is made and in accordance with the provisions of the Act, the Regulations, and the grant award; that the Applicant will make such reports, in such form and containing such information, as the Commissioner may require and shall make provision for keeping such records and for affording such access thereto as the Commissioner may find necessary to assure the correctness and verification thereof; and that the Applicant will periodically inform the State agency (if any) concerned with the educational activities of all institutions of higher education in the State of its activities in connection with the requested grant. Applicant meets the requirements of the Civil Rights Act of 1964, and Title IX of the Education Amendments of 1972 prohibiting discrimination on the basis of sex.

In 1975 the Audio-Visual department became a separate entity, thus accounting for the drop in expenditure for total library purposes.